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## APPLICATION NO ATTY DOCKET NO. 062331-5003 Unassigned LIST OF REFERENCES CITED BY APPLICANT APPLICANT (Revised PTO Form 1449) Z. ZHU et al. GROUP FILING DATE Concurrently herewith Unassigned U.S. PATENT DOCUMENTS \*EXAMINER INITIAL FILING DATE DOCUMENT NUMBER DATE NAME CLASS SUBCLASS IF APPROPRIATE A01 A02 A03 A04 A05 A06 A07 A08 A09 FOREIGN PATENT DOCUMENTS PCT Publication DOCUMENT NUMBER **SUBCLASS** DATE COUNTRY CLASS TRANSLATION YES NO PCT WO 02/083925 10/24/2002 B01 B02 B03 B04 B05 OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) Dejiang FENG et al., "Silencing of Potato Virus X Coat Protein Gene in Transgenic Tobaccos by Codon Replacement C01 That Confers Resistance to PVX Infection," Chinese Science Bulletin, Vol. 48, No. 12, June 30, 2003, pp 1308-1314. E. Jay De Rocher et al., 1998, Plant Physiol. 117:1445-1461. C02 Covey, SN, 1997, Nature, 385(27): 781-782 C03 C. Napoli et al., 1990, Plant Cell, 2:279-289. C04 Van der Krol Ar et al., 1990, Plant Cell, 2:291-299. C05 M. T. Ruiz et al., 1998, Plant Cell, 10(6): 937-946. C06 T. Dalmay et al., 2000, Plant Cell, 12(3): 369-379. C07 K. M. Land, 2001, Trends in Genetics, 17(7): 379. C08 L. Jones et al., 1999, Plant Cell, 11(12): 2291-301. C09 R. A. Burton et al., 2000, Plant Cell, 12(5): 691-706. C10 F.G. Ratcliff et al., 1999, The Plant Cell, 11, 1207-1215. C11 O. Voinnet, Trends in Genet, 17:449-459 C12 M. A. Matke et al., 2002, Adv Genet, 46-235-75 C13 R. Plasterk, H. Science, 2002, 17:296 (5571):1263-5. C14

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C18	T. Kleber-Janke et al., 2000, Protein Expr Purif, 19:419-424.	
C19	D. Bregeon et al., 2001, Genes Dev, 15:2295-306.	
C20	Chen, GFT et al., 1990, Nucl Acids Res. 18:1465-1473.	
C21	A. Hoekema et al., 1987, Mol. Cell. Biol. 7:2914-2924.	
EXAMINER	DATE CONSIDERED	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PATENT Attorney Docket No. 062331-5003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Z. ZHU et al.

U.S. National Phase of

Int'l Application No.: PCT/CN2004/000069

Int'l Filing Date: January 19, 2004 Group Art Unit: Unassigned

For: A METHOD FOR BREEDING TRANSGENIC PLANTS WITH HIGH ANTIVIRAL PROPERTY AND THE APPLICATIONS OF THE METHOD

Examiner: Unassigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 AND 1.97

U.S. Patent and Trademark Office Customer Window Randolph Building Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention, to References B01 and C01-C21, cited on the attached Revised PTO Form 1449. A copy of the B01 document is attached. Copies of the C01 through C21 documents are not available at this time. However, copies will be submitted with a Supplemental Information Disclosure Statement as soon as they are available.

The references B01, C01, and C02 were cited in a Search Report in the corresponding PCT Application No. PCT/CN2004/000069. A copy of the Search Report is also attached.

Identification of the listed reference is not to be construed as an admission of Applicants or Attorneys for Applicants that such reference is available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use Form PTO-1449, since this form identifies all of the references therein as "Prior Art." As an alternative, Applicants submit herewith a "revised PTO Form 1449" entitled "List of References Cited By Applicant" instead of "List of Prior Art Cited."

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Attorney Docket 062331-5003 Int'l Application No. PCT/CN2004/000069 Page 2

Applicants respectfully request that the Examiner review the reference and make it of record in the present application by signing and returning the enclosed List of References Cited.

Pursuant to 37 C.F.R. § 1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, so that no fee is believed to be due. However, should the Patent Office determine otherwise, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account 50-0310.

No fee is believed to be due for this submission. However, should any fee be required, please charge such fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310.

Dated: July 21, 2005 Morgan, Lewis & Bockius LLP Customer No. **09629** 1111 Pennsylvania Avenue, N.W.

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202-739-3000

Respectfully submitted,

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